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OIPE		Application Number	10/731,683					
TRANSMITTAL		Filing Date	December 8, 2003					
AUG 0 2 2004 3 FORM OFF		First Named Inventor	BERTOLERO, ART					
(to be used for all correspondence after initial filing)		Art Unit	Unassigned					
Alifi 2 200	4 35	Examiner Name	Unassigned					
Total Number of Pages in The Submission	ξ.,	Attorney Docket Number	021063-000510US					
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TRADENING ENCLOSURES (Check all that apply)								
Fee Transmittal Form		Drawing(s)	After Allowance Communication to Technology Center (TC) Appeal Communication to Board					
Fee Attached		Licensing-related Papers	of Appeals and Interferences					
Amendment/Reply	Ш	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)					
After Final		Petition to Convert to a Provisional Application	Proprietary Information					
Affidavits/declaration(s)		Power of Attorney, Revocation	Status Letter					
Extension of Time Request		Change of Correspondence Addre Terminal Disclaimer	Other Enclosure(s) (please identify below):					
Express Abandonment Request	\Box	Request for Refund	Return Postcard					
Information Disclosure Statement		7.04000.70.710.00.00	PTO/SB/08A and /08B Form					
Information Disclosure Statement		CD, Number of CD(s) PCT Search Report 4 Reference Copies						
Certified Copy of Priority Document(s)	The Commissioner is au Account 20-1430.	thorized to charge any additional fees to Deposit						
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNAT	URE (OF APPLICANT, ATTORNE	EY, OR AGENT					
Firm Townsend and Towns	end an	d Crew LLP						
Individual name James M Heslin		Reg.	No. 29,541					
Signature 7 20 0	14							
Date								
CERTIFICATE OF TRANSMISSION/MAILING								
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Typed or printed name Tiffany Wu								
Signature		•	Date 7/30/04					

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Commissioner for Patents
P.O. Box 1450
Allexandria, VA 22313-1450
On
TOWNSEND and TOWNSEND and CREW LLP

Tiffany Wo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ART BERTOLERO et al.

Application No.: 10/731,683

Filed: December 8, 2003

For: METHODS AND DEVICES FOR

CARDIAC SURGERY

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search Report corresponding to PCT Application No. PCT/US03/39054.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

ART BERTOLERO et al. Application No.: 10/731,683 Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

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Tel: 650-326-2400 Fax: 650-326-2422

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O P INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Filing Date	December 8, 2003		
					First Named Inventor	BERTOLERO, ART		
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	U.S. PATENT DOCUMENTS+					
		Document Number				
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-6,013,027	01-11-2000	Khan et al.		
	AB	US-6,036,641	03-14-2000	Taylor et al.		
	AC	US-6,338,712 B2	01-15-2002	Spence et al.		
	AD	US-6,338,738 B1	01-15-2002	Bellotti et al.		
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				FOREIGN PA	TENT DOCUME	NTS	_	
	Cite No.1	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Li Where Relevan Passages or Relev	t /ant _e	
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Signature	Considered	·

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.